

Patent  
Attorney Docket No. 51951 (23091/10 ACT-164)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	<b>Mail Stop: Issue Fee</b>
David W. SHERRER	)	<b>Group Art Unit: 2839</b>
Application No: 10/054,575	)	<b>Examiner: Javaid H. Nasri</b>
Filed: November 13, 2001	)	<b>Confirmation No: 7735</b>
FOR: OPTICAL CONNECTOR SYSTEM)	)	

**REQUEST FOR EXAMINER INITIALLED FORM PTO-1449**

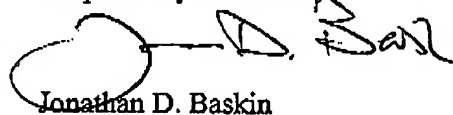
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In reviewing the file, it has come to applicant's attention that an Examiner-initialed copy of the form PTO-1449 attached to the Supplemental Information Disclosure Statement (IDS) filed April 9, 2004, was not received. For the Examiner's convenience, a copy of the Supplemental IDS, form PTO-1449 and PTO-stamped postcard receipt are attached. Applicant respectfully requests a copy of the initialed form PTO-1449.

If there are any questions concerning this paper or the application in general, the Examiner is invited to telephone the undersigned.

Respectfully submitted,



Jonathan D. Baskin  
Attorney for Applicant  
Registration No. 39,499  
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Date: July 28, 2004

JDB

Mailing Date: 4/9/04 Attorney/Sec: JDB:smd  
Client: 70329 Docket No.: 23091/10 ACT-164 (51951)  
Inventors: Sherrer  
Serial No.: 10/054,675 Patent No.:  
Filing Date: 11/13/01 Grant Date:

The dating stamp of the Patent and Trademark Office hereon will be taken as the date of filing of:  
Supplemental Information Disclosure Statement; PTO-1449 Form; Copy of Documents AA;  
Certificate of Mailing.



Due Date: None

SR

Practitioner's Docket No. 51951 (23091/10 ACT-164)**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: David W. Sherrer

Serial No.: 10/054,575

Group No.: 2839

Filed: November 13, 2001

Examiner: Javaid H. Nasri

For: OPTICAL CONNECTOR SYSTEM

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**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date 4/9/04By: Susan M. Dillon  
Susan M. Dillon

\*\*\*\*\*

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: April 9, 2004

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

**I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION**

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

**II. COPIES**

- a. ☒ Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

**III. CONCISE EXPLANATION OF THE RELEVANCE  
(check at least one box)**

In re application of: David W. Snerrer

Serial No.: 10/054,575

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- a. ☒ Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b. ☐ A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- c. ☐ The following additional information is provided for the Examiner's consideration:

#### FEES

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)  
(check one box)

- a. ☐ within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. ☐ within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c. ☒ before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$240.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):  
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. ☐ No certification; therefore, a fee in the amount of \$ \_\_\_\_\_ is required by 37 C.F.R. § 1.17(p).

or

In re application of: David W. Chierrer

Serial No.: 10/054,575

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b. ☐ See the certification below. No fee is required.

VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby certifies that

- a. ☐ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. ☐ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
- c. ☐ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.


☐ Please charge Deposit Account No. 04-1105 in the amount of \$ \_\_\_\_\_ for the above-indicated fee. A triplicate copy of this paper is attached.

☒ No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition, if necessary) and charge the appropriate fee to Deposit Account No. 04-1105.

Respectfully submitted,

Date: April 9, 2004

  
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